Single-Layer Perceptron Classifiers

Berlin Chen, 2002

Outline

 Foundations of trainable decision-making networks to be formulated

Input space to output space (classification space)

- Focus on the classification of linearly separable classes of patterns
 - Linear discriminating functions and simple correction function
 - Continuous error function minimization
- Explanation and justification of perceptron and delta training rules

- A pattern is the quantitative description of an object, event, or phenomenon
 - Spatial patterns: weather maps, fingerprints ...
 - Temporal patterns: speech signals ...
- Pattern classification/recognition
 - Assign the input data (a physical object, event, or phenomenon) to one of the pre-specified classes (categories)
 - Discriminate the input data within object population via the search for invariant attributes among members of the population

• The block diagram of the recognition and classification system



(b)

Figure 3.1 Recognition and classification system: (a) overall block diagram and (b) pattern classifier.

4

- More about Feature Extraction
 - The compressed data from the input patterns while poses salient information
 - E.g.
 - Speech vowel sounds analyzed in 16-channel filterbanks can provide 16 spectral vectors, which can be further transformed into two dimensions
 - Tone height (high-low) and retraction (front-back)
 - Input patterns to be projected and reduced to lower dimensions

More about Feature Extraction



 Two simple ways to generate the pattern vectors for cases of spatial and temporal objects to be classified



Figure 3.2 Two simple ways of coding patterns into pattern vectors: (a) spatial object and (b) temporal object (waveform).

 A pattern classifier maps input patterns (vectors) in Eⁿ space into numbers (E¹) which specify the membership

$$i = i_0(\mathbf{x}), \ j = 1, 2, ..., R$$

Classification described in geometric terms





- Decision regions
- Decision surfaces: generally, the decision surfaces for ndimensional patterns may be (n-1)-dimensional hyper-surfaces

Discriminant Functions

- Determine the membership in a category by the classifier based on the comparison of *R* discriminant functions g₁(x), g₂(x),..., g_R(x)
 - When **x** is within the region X_k if $g_k(x)$ has the largest value $i_0(x) = k$ if $g_k(x) > g_j(x)$ for $k, j = 1, 2, ..., R, k \neq j$



Figure 4.2 Block diagram of a classifier based on discriminant functions [22].

• Example 3.1 Decision surface Equation: $g(x) = g_1(x) - g_2(x)$





Figure 3.4c,d Illustration for Example 3.1 *(continued):* (c) contour map of discriminant functions, and (d) construction of the normal vector for $q_1(\mathbf{x})$.



Multi-class



Two-class



Bayes' Decision Theory

- A decision-making based on both the posterior knowledge obtained from specific observation data and prior knowledge of the categories
 - Prior class probabilities $P(\omega_i)$, \forall class *i*
 - Class-conditioned probabilities $P(x|\omega_i)$, \forall class*i*

$$k = \arg\max_{i} P(\omega_{i}|x) = \arg\max_{i} \frac{P(x|\omega_{i})P(\omega_{i})}{P(x)} = \arg\max_{i} \frac{P(x|\omega_{i})P(\omega_{i})}{\sum_{j=1} P(x|\omega_{j})P(\omega_{j})}$$

 $k = \arg \max_{i} P(\omega_i | x) = \arg \max_{i} P(x | \omega_i) P(\omega_i)$

- Bayes' decision rule designed to minimize the overall risk involved in making decision
 - The expected loss (conditional risk) when making decision δ_i

$$R\left(\delta_{i}|x\right) = \sum_{j}^{l} l\left(\delta_{i}|\omega_{j}, x\right) P\left(\omega_{j}|x\right), \text{ where } l\left(\delta_{i}|\omega_{j}, x\right) = \begin{cases} 0, \ i = j\\ 1, \ i \neq j \end{cases}$$
$$= \sum_{j \neq i} P\left(\omega_{j}|x\right)$$
$$= 1 - P\left(\omega_{i}|x\right)$$

• The overall risk (Bayes' risk)

 $R = \int_{-\infty}^{\infty} R(\delta(x)|x) p(x) dx, \, \delta(x)$: the selected decision for a sample x

- Minimize the overall risk (classification error) by computing the conditional risks and select the decision δ_i for which the conditional risk $R(\delta_i|x)$ is minimum, i.e., $P(\omega_i|x)$ is maximum (minimum-error-rate decision rule)

Two-class pattern classification

$$g_{1}(x) = P(\omega_{1}|x) \cong P(x|\omega_{1})P(\omega_{1}), g_{2}(x) = P(\omega_{2}|x) \cong P(x|\omega_{2})P(\omega_{2})$$
Bayes' Classifier
Likelihood ratio or log-likelihood ratio:
$$P(x|\omega_{1})P(\omega_{1}) \stackrel{\sim}{\underset{=}{\sim}} P(x|\omega_{2})P(\omega_{2}) \iff l(x) = \frac{P(x|\omega_{1})^{\omega_{1}}}{P(x|\omega_{2}) < P(\omega_{1})}$$

$$l(x) = \frac{P(x|\omega_{1})^{\omega_{1}}}{P(x|\omega_{2}) < P(\omega_{1})}$$

$$l(x) = \frac{P(x|\omega_{1})^{\omega_{1}}}{P(x|\omega_{2}) < P(\omega_{1})}$$

$$logl(x) = \log P(x|\omega_{1}) - \log P(x|\omega_{2})^{>} \log P(\omega_{2}) - \log P(\omega_{1})$$

$$\overset{\omega_{2}}{\underset{=}{\sim}} \log P(\omega_{2}) - \log P(\omega_{2}) - \log P(\omega_{1})$$

$$\overset{\omega_{2}}{\underset{=}{\sim}} \log P(\omega_{2}) - \log P(\omega_{1})$$

Figure 4.1 Calculation of the likelihood of classification error [22]. The shaded area represents the integral value in Eq. (4.9).

- When the environment is multivariate Gaussian, the Bayes' classifier reduces to a linear classifier
 - The same form taken by the perceptron
 - But the linear nature of the perceptron is not contingent on the assumption of Gaussianity

$$P(\mathbf{x}|\boldsymbol{\omega}) = \frac{1}{(2\pi)^{n/2}} \exp\left[-\frac{1}{2}(\mathbf{x}-\boldsymbol{\mu})^{t}\boldsymbol{\Sigma}^{-1}(\mathbf{x}-\boldsymbol{\mu})\right]$$

Class
$$\omega_1 : E[X] = \mu_1$$

 $E[(X - \mu_1)(X - \mu_1)^t] = \Sigma$ $P(\omega_1) = P(\omega_2) = \frac{1}{2}$
Class $\omega_2 : E[X] = \mu_2$
 $E[(X - \mu_2)(X - \mu_2)^t] = \Sigma$

Assumptions

• When the environment is Gaussian, the Bayes' classifier reduces to a linear classifier (cont.)

$$\log l(\mathbf{x}) = \log P(\mathbf{x}|\omega_{1}) - \log P(\mathbf{x}|\omega_{2})$$

= $-\frac{1}{2}(\mathbf{x} - \boldsymbol{\mu}_{1})^{t} \boldsymbol{\Sigma}^{-1}(\mathbf{x} - \boldsymbol{\mu}_{1}) + \frac{1}{2}(\mathbf{x} - \boldsymbol{\mu}_{2})^{t} \boldsymbol{\Sigma}^{-1}(\mathbf{x} - \boldsymbol{\mu}_{2})$
= $(\boldsymbol{\mu}_{1} - \boldsymbol{\mu}_{2})^{t} \boldsymbol{\Sigma}^{-1} \mathbf{x} + \frac{1}{2}(\boldsymbol{\mu}_{2}^{t} \boldsymbol{\Sigma}^{-1} \boldsymbol{\mu}_{2} - \boldsymbol{\mu}_{1}^{t} \boldsymbol{\Sigma}^{-1} \boldsymbol{\mu}_{1})$
= $\mathbf{w}\mathbf{x} + b$

$$\therefore \log l(\mathbf{x}) = \mathbf{w}\mathbf{x} + b \mathop{>}_{\substack{< \\ \omega_2}} 0$$

Multi-class pattern classification



Figure 4.3 An example of decision boundaries and regions. For simplicity, we use scalar variable *x* instead of a multi-dimensional vector [22].

- Find the linear-form discriminant function for twoclass classification when the class prototypes are known
- Example 3.1: Select the decision hyperplane that contains the midpoint of the line segment connecting center point of two classes

The dichotomizer's discriminant function $g(\mathbf{x})$:



It is a simple minimum-distance classifier.

- The linear-form discriminant functions for multiclass classification
 - There are up to R(R-1)/2 decision hyperplanes for R pairwise separable classes

Some classes may not be contiguous



- Linear machine or minimum-distance classifier
 - Assume the class prototypes are known for all classes
 - Euclidean distance between input pattern **x** and the center of class *i*, **x**_{*i*}: $\|x x_i\| = \sqrt{(x x_i)^t (x x_i)}$

• Minimizing
$$\|\mathbf{x} - \mathbf{x}_i\|^2 = (\mathbf{x}^t \mathbf{x} - 2\mathbf{x}_i^t \mathbf{x} + \mathbf{x}_i^t \mathbf{x}_i)$$
 is equal to
maximizing $\mathbf{x}_i^t \mathbf{x} - \frac{1}{2} \mathbf{x}_i^t \mathbf{x}_i$ The same for all classes

Set the discriminant function for each class i to be:



Figure 3.7 A linear classifier.

• Example 3.2

$$\boldsymbol{w}_{1} = \begin{bmatrix} 10\\2\\-52 \end{bmatrix}, \ \boldsymbol{w}_{2} = \begin{bmatrix} 2\\-5\\-14 .5 \end{bmatrix}, \ \boldsymbol{w}_{3} = \begin{bmatrix} -5\\5\\-25 \end{bmatrix}$$

$$g_{1}(x) = 10 \ x_{1} + 2 \ x_{2} - 52$$

$$g_{2}(x) = 2 \ x_{1} - 5 \ x_{2} - 14 \ .5$$

$$g_{3}(x) = -5 \ x_{1} + 5 \ x_{2} - 25$$

$$S_{12} : 8x_1 + 7x_2 - 37.5 = 0$$

$$S_{13} : -15x_1 + 3x_2 + 27 = 0$$

$$S_{23} : -7x_1 + 10x_2 - 10.5 = 0$$

$$g_i(\mathbf{x}) = \mathbf{x}_i^t \mathbf{x} - \frac{1}{2} \mathbf{x}_i^t \mathbf{x}_i$$



 If R linear discriminant functions exist for a set of patterns such that

$$g_i(x) > g_j(x)$$
 for $x \in \text{Class } i$,
 $i = 1, 2, ..., R, j = 1, 2, ..., R$, $i \neq j$

- The classes are linearly separable

P3.3 For the minimum-distance (linear) dichotomizer, the weight and augmented pattern vectors are

$$\mathbf{w} = \begin{bmatrix} 2\\-1\\2 \end{bmatrix}, \quad \mathbf{y} = \begin{bmatrix} x_1\\x_2\\1 \end{bmatrix}$$

(a) Find the equation of the decision surface in the pattern space.

- (b) Find the equation of the decision surface in the augmented pattern space.
- (c) Compute the new solution weight vector if the two class prototype points are

$$\mathbf{x}_1 = \begin{bmatrix} 2 & 5 \end{bmatrix}^t$$
 and $\mathbf{x}_2 = \begin{bmatrix} -1 & -3 \end{bmatrix}^t$.

(d) Sketch the decision surfaces for each case in parts (a), (b), and (c).

(a) $2x_1-x_2+2=0$, decision surface is a line

(b) $2x_1-x_2+2=0$, decision surface is a plane

(c) **x**₁=[2,5], **x**₂=[-1,-3]

=>The decision surface for minimum distance classifier



Examples 3.1 and 3.2 have shown that the coefficients (weights) of the linear discriminant functions can be determined if the a priori information about the sets of patterns and their class membership is known

• The example of linearly non-separable patterns



Figure 3.9 Example of parity function resulting in linearly nonseparable patterns (R = 2): (a) $x_1 \oplus x_2$ and (b) $x_1 \oplus x_2 \oplus x_3$.



 Examine the neural network classifiers that derive/training their weights based on the errorcorrection scheme
 Pattern 2 (Class 2)



Figure 3.10 Linear dichotomizer using hard-limiting threshold element, or the TLU-based perceptron.

$$g(y) = w^{t}y$$

Augmented input pattern

Class 1:
$$w^{t} y > 0$$

Class 2: $w^{t} y < 0$

Vector Representations in the Weight Space



Figure 3.11 Decision hyperplane in augmented weight space for a five pattern set from two dases

- Devise an analytic approach based on the geometrical representations
 - E.g. the decision surface for the training pattern y_1





Weight adjustments of three augmented training pattern y_1 , y_2 , y_3 , shown in the weight space

- $y_1 \in C_1$ $y_2 \in C_1$ $y_3 \in C_2$
- Weights in the shaded region are the solutions
- The three lines labeled are fixed during training

Weight Space

- More about the correction increment *c*
 - If it is not merely a constant, but related to the current training pattern



- For **fixed correction rule** with *c*=constant, the correction of weights is always the same fixed portion of the current training vector
 - The weight can be initialized at any value

$$w' = w \pm cy$$
 or $w' = w + \Delta w$
 $\Delta w = c \left[d - \operatorname{sgn} \left(w^{t} y \right) \right] y$

- For **dynamic correction rule** with *c* dependent on the distance from the weight (i.e. the weight vector) to the decision surface in the weight space $\Rightarrow cy = \frac{|w^{1t}y|}{\|y\|^2}y$
 - The initial weight should be different from *0*

 Dynamic correction rule with c dependent on the distance from the weight



Figure 3.13 Illustration of correction increment value, i'th step.



Figure 3.14a,b Discrete perceptron classifier training in Example 3.3: (a) network diagram, (b) fixed correction rule training.

- Replace the TLU (Threshold Logic Unit) with the sigmoid activation function for two reasons:
 - Gain finer control over the training procedure
 - Facilitate the differential characteristics to enable computation of the error gradient



Figure 3.16 Continuous perceptron training using square error minimization.

 The new weights is obtained by moving in the direction of the negative gradient along the multidimensional error surface



• Define the error as the squared difference between the desired output and the actual output $E = \frac{1}{(d-q)^2}$

$$E = \frac{1}{2}(d-o)^{2}$$
or
$$E = \frac{1}{2}\left[d - f\left(w^{t}y\right)\right]^{2} = \frac{1}{2}\left[d - f\left(net\right)\right]^{2}$$

$$\nabla E\left(w\right) = \frac{1}{2}\nabla\left(\left[d - f\left(net\right)\right]^{2}\right)$$

$$\nabla E\left(w\right)^{\Delta} = \begin{bmatrix} \frac{\partial E}{\partial w_{1}} \\ \frac{\partial E}{\partial w_{2}} \\ \vdots \\ \vdots \\ \frac{\partial E}{\partial w_{n+1}} \end{bmatrix} = -(d-o)f'(net) \begin{bmatrix} \frac{\partial(net)}{\partial w_{1}} \\ \frac{\partial(net)}{\partial w_{2}} \\ \vdots \\ \frac{\partial(net)}{\partial w_{n+1}} \end{bmatrix} = -(d-o)f'(net)$$

Bipolar Continuous Activation Function

$$f(net) = \frac{2}{1 + \exp(-\lambda \cdot net)} - 1 \quad f'(net) = \lambda \cdot \frac{2\exp(-\lambda \cdot net)}{[1 + \exp(-\lambda \cdot net)]^2} = \lambda \cdot \{1 - [f(net)]^2\} = \lambda (1 - o^2)$$
$$\hat{w} = w + \frac{1}{2}\eta \cdot \lambda (d - o)(1 - o^2)y$$

Unipolar Continuous Activation Function

$$f(net) = \frac{1}{1 + \exp(-\lambda \cdot net)} \quad f'(net) = \frac{\lambda \cdot \exp(-\lambda \cdot net)}{\left[1 + \exp(-\lambda \cdot net)\right]^2} = \lambda \cdot f(net)\left[1 - f(net)\right] = \lambda \cdot o(1 - o)$$

 $\hat{w} = w + \eta \cdot \lambda \cdot (d - o)o(1 - o)y$



Continuous Perceptron Training Algorithm (cont.) • Example 3.3

Total error surface

Trajectories started from four arbitrary initial weights



Figure 3.19c Delta rule training illustration for training in Example 3.4 (continued): (c) trajectories of weight adjustments during training (each tenth step shown).







• Treat the last fixed component of input pattern vector as the neuron activation threshold



- *R*-category linear classifier using *R* discrete bipolar perceptrons
 - Goal: The *i*-th TLU response of +1 is indicative of class *i* and all other TLU respond with -1



Figure 3.21 R-category linear classifier using R discrete perceptrons.

• Example 3.5 5 x_1 **TLU #1 TLU #2** x2 $-9x_1+x_2=0$ **TLU #3** P3 . -1 0 (-5, 5)0= P_1 (10, 2) "Indecision" regions -1010 $-x_2 - 2 = 0$ P_2 (2, -5) 48

R-category linear classifier using *R* continuous bipolar perceptrons



$$\hat{w}_{i} = w_{i} + \frac{1}{2}\eta \cdot \lambda (d_{i} - o_{i})(1 - o_{i}^{2})y$$

for $i = 1, 2, ..., R$
 $d_{i} = 1, d_{i} = -1$, for $j = 1, 2, ..., R$, $j \neq i$

Error function dependent on the difference vector *d-o*



Figure 3.24 Supervised learning adaptive mapping system.

Bayes' Classifier vs. Percepron

- Perceptron operates on the promise that the patterns to be classified are *linear separable* (otherwise the training algorithm will oscillate), while Bayes' classifier assumes the (Gaussian) distribution of two classes certainly do overlap each other
- The perceptron is nonparametric while the Bayes' classifier is parametric (its derivation is contingent on the assumption of the underlying distributions)
- The perceptron is simple and adaptive, and needs small storage, while the Bayes' classifier could be made adaptive but at the expanse of increased storage and more complex computations

Homework

• P3.5, P3.7, P3.9, P3.22